

Applicant or Patentee: Wlaschin, et al

Client/Matter No. 151931-0015

Serial or Patent No.: 08/633,842

Filed or Issued: April 10, 1996

For: METHOD AND APPARATUS FOR IMPROVED INFORMATION STORAGE AND RETRIEVAL SYSTEM

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) AND 1.27(c) - SMALL BUSINESS CONCERN)

I hereby declare that I am

☐ the owner of the small business concern identified below:

☒ and official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: DEX Information System, Inc. 200

ADDRESS OF CONCERN: 117 E. Colorado Boulevard, Suite 290, Pasadena, California 91105

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under the contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled METHOD AND APPARATUS FOR IMPROVED INFORMATION STORAGE AND RETRIEVAL SYSTEM

by inventor(s) Wlaschin, et al.

described in

☐ the specification filed herewith

☒ application serial no. 08/633,842 filed April 10, 1996

☐ patent no. , issued

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME:

ADDRESS:

☐ INDIVIDUAL

☐ SMALL BUSINESS CONCERN

☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is not longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 or Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which verified statement is directed.

NAME OF PERSON SIGNING: LOUISE J. WANNIER

TITLE OF PERSON OTHER THAN OWNER: President and CEO

ADDRESS OF PERSON SIGNING: 117 E. Colorado Boulevard, Suite 290, Pasadena, California 91105

SIGNATURE: *Louise J. Wannier* DATE: July 21, 1996

#3

RULE 63 (37 C.F.R. 1.63)
DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled METHOD AND APPARATUS FOR IMPROVED INFORMATION STORAGE AND RETRIEVAL SYSTEM

— is attached hereto.

X was filed on April 10, 1996 as U.S. application Serial No. 08/633,842

— was filed as PCT international application No. PCT/ / on and (if applicable to U.S. or PCT application) was amended on

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with 37 C.F.R. 1.56(a) and 35 U.S.C. 102. I hereby claim foreign priority benefits under 35 U.S.C. 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date (1) before that of the application on which priority is claimed, or (2) if no priority claimed, before the filing date of this application:

PRIOR FOREIGN APPLICATION(S)

Number Country Day/MONTH/Year Filed

PRIORITY CLAIMED
YES NO

I hereby claim the benefit under 35 U.S.C. 120/365 of all United States and PCT international applications listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in such prior applications in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. 1.56(a) which occurred between the filing date of the prior applications and the national or PCT international filing date of this application:

PRIOR U.S. OR PCT APPLICATION(S)

Application Serial No.

Day/MONTH/Year

Status: patented,
pending, abandoned

08/383,752

3 February, 1995

Pending

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so make are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

And I hereby appoint IRELL & MANELLA LLP, 1800 Avenue of the Stars, Suite 900, Los Angeles, California 90067, telephone number (310) 277-1010 (to whom all communications are to be directed) and Robert Steinberg, Registration No. 33,144; Bruce D. Kuyper, Registration No. 33,937; Gary Frischling, Registration No. 35,515; Robert C. Strawbrich, Registration No. 36,692; Wen Liu, Registratoin No. 32,827; and Jeffrey L. Blatt, Registration No. 30,244 (all of the same address) individually and collectively my attorneys to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent.

1) INVENTOR'S SIGNATURE X Scott Wlaschin Date X 21 July 1996
Inventor's Name (typed) Scott Wlaschin United States
First MI Family Name Citizenship

Residence Los Angeles (State/Foreign Country)
Post Office Address (Include Zip Code) 2597 Dearborn Drive, Los Angeles, CA 90068

2) INVENTOR'S SIGNATURE X Robert Gordon Date 18 July 1996
Inventor's Name (typed) Robert Gordon United States
First MI Family Name Citizenship

Residence Los Angeles (State/Foreign Country)
Post Office Address (Include Zip Code) 130 S. Flores, #101, Los Angeles, CA 90048

3) INVENTOR'S SIGNATURE X Louise Wannier Date X 21 July 1996
Inventor's Name (typed) Louise Wannier United States
First MI Family Name Citizenship

Residence La Canada (State/Foreign Country)

RULE 63 (37 C.F.R. 1.63)
DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled METHOD AND APPARATUS FOR IMPROVED INFORMATION STORAGE AND RETRIEVAL SYSTEM

___ is attached hereto.

___ was filed on April 10, 1996 as U.S. application Serial No. 08/633,842

___ was filed as PCT international application No. PCT/___/___ on ___ and (if applicable to U.S. or PCT application) was amended on ___

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with 37 C.F.R. 1.56(a) and 35 U.S.C. 102. I hereby claim foreign priority benefits under 35 U.S.C. 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date (1) before that of the application on which priority is claimed, or (2) if no priority claimed, before the filing date of this application:

PRIOR FOREIGN APPLICATION(S)

Number Country Day/MONTH/Year Filed

PRIORITY CLAIMED
 YES NO

I hereby claim the benefit under 35 U.S.C. 120/365 of all United States and PCT international applications listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in such prior applications in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. 1.56(a) which occurred between the filing date of the prior applications and the national or PCT international filing date of this application:

PRIOR U.S. OR PCT APPLICATION(S)

Application Serial No. Day/MONTH/Year

Status: patented,
 pending, abandoned

08/633,732

3 February, 1995

Pending

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

And I hereby appoint IRELL & MANELLA LLP, 1800 Avenue of the Stars, Suite 900, Los Angeles, California 90067, telephone number (310) 277-1010 (to whom all communications are to be directed) and Robert Steinberg, Registration No. 33,144; Bruce D. Kuyper, Registration No. 33,937; Gary Frischling, Registration No. 35,515; Robert C. Strawbrich, Registration No. 36,692; Wen Liu, Registration No. 32,827; and Jeffrey L. Blatt, Registration No. 30,244 (all of the same address) individually and collectively my attorneys to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent.

1) INVENTOR'S SIGNATURE [Signature] Date 18 July 1996
 Inventor's Name (typed) Scott Wannier United States
 First MI Family Name Citizenship

Residence Los Angeles (State/Foreign Country)
 Post Office Address (include Zip Code) 2597 Dumborn Drive, Los Angeles, CA 90068

2) INVENTOR'S SIGNATURE [Signature] Date 18 July 1996
 Inventor's Name (typed) Robert Gordon United States
 First MI Family Name Citizenship

Residence Los Angeles (State/Foreign Country)
 Post Office Address (include Zip Code) 130 S. Flores #101, Los Angeles, CA 90048

3) INVENTOR'S SIGNATURE [Signature] Date 7/19/96
 Inventor's Name (typed) Lois J. Wannier United States
 First MI Family Name Citizenship

Residence La Canada (State/Foreign Country)
 Post Office Address (include Zip Code) 5181 Gould Avenue, La Canada, CA 91011

4) INVENTOR'S SIGNATURE [Signature] Date 7/19/96
 Inventor's Name (typed) Clay Gordon United States
 First MI Family Name Citizenship

Residence New York (State/Foreign Country)
 Post Office Address (include Zip Code) 245 W. 74th Street, #6A, New York, NY 10023

FOR ADDITIONAL INVENTORS, CHECK ___ AND ATTACH SECOND SHEET

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